#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

rial No.: 09/835,146

Filed: April 13, 2001

For: VOICE-DATA TELEPHONIC

INTERFACE CONTROL SYSTEM

**Group Art Unit:** 

2643

Examiner:

S. Woo

**Attorney Docket No.:** 

6646-114N8

**Customer No.:** 

50-1636

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Technology Center 2600

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. 1.97(c)**

Sir:

Further to Forms PTO-1449 submitted before (Set I through SUPPLEMENTAL XIII), Applicant is submitting additional Forms PTO (SUPPLEMENTAL XIV-XV) citing new references that were recently brought to Applicant's attention. The references may or may not be "material" pursuant to 37 C.F.R. § 1.56. Applicant requests the Examiner to make an independent determination. The undersigned brings to the Examiner's attention that she has not received initialed copies of the Forms PTO-1449 captioned Set I and Supplemental I-XI submitted for the subject application.

Pursuant to pursuant to 37 CFR 1.52(e), Applicant encloses two (2) compact discs. One of the compact discs is the original and labeled "Copy 1" and the other is identical and labeled "Copy 2." Pursuant to 37 CFR 1.52(e)(3), Applicant encloses an information data sheet of the references cited on Forms PTO 1449 (IV-XV) as well as scanned copies (in PDF format) of all the references listed.

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA

09835146

Application No.:

09/835,146

Supplemental IDS dated:

September 23, 2003

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list the references should be placed in this file to make it available for future access by others. This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. The Examiner is hereby authorized to charge the requisite fee (indicated in the accompanying transmittal) and any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. 50-1636.

Respectfully submitted,

Dated: June 3, 2004

By:

Reena Kuyper Reg. No. 33,830

9220 Sunset Blvd., Suite 315 Los Angeles, California 90069

(213) 489-1600

PTO/SB/21 (02-04)
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p. a collection of information unless it displays a velid OMB account. the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/835 146 RANSMITTAL Filing Date April 13, 2001 **FORM** First Named Inventor Ronald A. KATZ Art Unit 2643 all correspondence after initial filing) Technology Center 2600 **Examiner Name** S. Woo Attorney Docket Number 6646-114N8 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication ~ Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) 2 Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Form PTO-1449 Supplemental XIV - XV (7 pgs); Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 1 of 1 (3 pgs); Return postcard. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Reena Kuyper, Registration No. 33,830 Individual name Signature Date June 3, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Signature	(WMAAA)	Date	June 3, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date

June 3, 2004

Signature

**SUPPLEMENTAL XIV** 



#### LIST OF PATENTS AND THER ITEM FOR APPLICANT'S INFORMAT DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET	SERIAL NO.
NO.	09/835.14

6646-114N8 APPLICANT:

RONALD A. KATZ

FILING DATE: April 13, 2001 **GROUP:** 2643

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,555,198	01/12/71	Stepan			
	4,985,913	01/15/91	Shalom et al.		-	
	4,951,310	08/21/90	Honda et al.			
	5,029,196	07/02/91	Morganstein			
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Gitlin, Bob, "Impersonal Touch? Risk Management Resources' Bottom Line Outweighs Criticism of Voice Processing," Communications News, Feb. 1, 1990, Vol. 27, No. 2.
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**SUPPLEMENTAL XV** 

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FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 6646-114N8

**SERIAL NO.** 09/835,146

6646-114N8 APPLICANT:

**'RONALD A. KATZ** 

FILING DATE: April 13, 2001 **GROUP:** 2643

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,622,995	11/23/1971	Dilks			3/21/1969
	3,725,596	4/3/1973	Maxon et al.			11/12/1971
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	4,755,872	7/5/1988	Bestler et al.			7/29/1985
	4,782,508	11/1/1988	Borchering et al.			3/3/1986
	Re. 34,587	4/19/1994	Crane et al.			4/19/1994
	3,689,703	9/5/1972	Allen et al.			9/5/1972
	3,752,904	8/14/1973	Waterbury			8/9/1971
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	54-061807	5/18/1979	Japan	REC	FIVE	D	X (abstract only)	
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SUPPLEMENTAL XV

LIST OF PATENTS AND OTHER I FOR APPLICANT'S INTORMA DISCLOSURE STATEMEN

(Use several sheets if necessary)

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APPLICANT: RONALD A. KATZ

FILING DATE: April 13, 2001 **GROUP:** 2643

56-044958	4/24/1981	Japan		X (abstract only)
57-045767	3/15/1982	Japan	RECEIVED	X (abstract only)
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01-098362	4/17/1989	Japan	Technology Center 2600	X (abstract only)
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	Steven C. Grant and Yvonne Brooks Grant, The Teleconnect Guide to Automatic Call Distributors, 2 <sup>nd</sup> Ed. January 1985, ("The Teleconnect Guide")
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	Via Cable, Vol. 2, No. 7 (November 1982)
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	Letter from Douglas Semon, Director of Engineering, New Technology Development, Viacom Cable to Mr. Semir Serazi, CATV Division, Zenith Electronics Corp., dated February 22, 1985
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**SUPPLEMENTAL XV** 

LIST OF PATENTS AN

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(Use several sheets if necessary)

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	Voiers, William D., "Evaluating Processed Speech Using the Diagnostic Rhyme Test," Speech Technology,
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	Jan/Feb. 1983, pp. 107-115 (Vz Cal 81088-81096)

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**SUPPLEMENTAL XV** 

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**SERIAL NO.** 09/835,146

6646-114N8

APPLICANT: RONALD A. KATZ

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(Use several sheets if necessary)

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	Communications Review, March-April 1986, pp. 8-12 (Vz Cal 89987-89994)

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**SUPPLEMENTAL XV** 

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'RONALD A. KATZ

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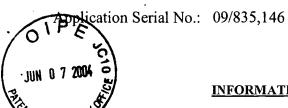
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List of Files contained on this disc:

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a. Other Documents

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3725596 Maxon et al.		Adobe Acrobat Document	1300 KB	06/02/2004
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JP 54-061807		Adobe Acrobat Document	53 KB	06/02/2004
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